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## THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Carl W. Orthlieb, et al. Art Unit : 2122  
Serial No. : 10/080,923 Examiner : Unknown  
Filed : February 21, 2002  
Title : APPLICATION RIGHTS ENABLING (UBIQUITY)

**BOX MISSING PARTS**

Commissioner for Patents  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

In response to the Notice to File Missing Parts of Application under 37 U.S.C. §1.53(b) mailed March 25, 2002 (copy enclosed), applicants as a large entity submit herewith the following:

- Payment of the basic filing fee of \$740;
- Payment of the additional dependent and total claims fees of \$912;
- Payment of the surcharge of \$130 for late filing of the basic filing fee and/or declaration;
- A check in the total amount of \$1782 is attached;
- A Combined Declaration/Power of Attorney in compliance with 37 CFR §1.63;
- Other:  
Recordation Form Coversheet and Assignment

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 4/22/02

  
Timothy A. Porter, Reg. No. 41,258

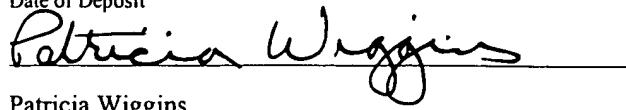
Fish & Richardson P.C.  
500 Arguello Street, Suite 500  
Redwood City, California 94063  
Telephone: (650) 839-5070  
Facsimile: (650) 839-5071

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**CERTIFICATE OF MAILING BY FIRST CLASS MAIL**

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C 20231.

1-23-2002  
Date of Deposit

  
Patricia Wiggins



Attorney Docket No.: 07844-518001 / P480

#4

THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Serial No. : 10/080,923 Examiner : Unknown  
Filed : February 21, 2002  
Title : APPLICATION RIGHTS ENABLING (UBIQUITY)

Commissioner for Patents  
Washington, D.C. 20231

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Please correct the Filing Receipt for the above-referenced application to reflect the following:

Attorney's Docket No. 07844-518001/P480

Please supply a corrected Filing Receipt to the undersigned with respect to this application. A copy of the original Filing Receipt showing the desired changes in red ink is attached for your convenience.

No fee is believed to be due. If, however, there are any charges or credits, please apply them to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 4/22/02

  
\_\_\_\_\_  
Timothy A. Porter  
Reg. No. 41,258

Fish & Richardson P.C.  
500 Arguello Street, Suite 500  
Redwood City, California 94063  
Telephone: (650) 839-5070  
Facsimile: (650) 839-5071

50087042.doc

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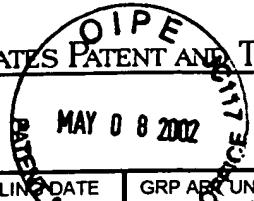
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4/22/2002  
Date of Deposit  
Patricia Wiggins  
Patricia Wiggins

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UNITED STATES PATENT AND TRADEMARK OFFICE



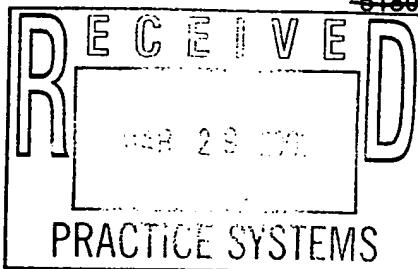
(C:Adobe  
ON: 1-12-02)

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ARK UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
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10/080,923	02/21/2002	2122	0.00	07844- 518001	6	52	7
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021876  
FISH & RICHARDSON P.C.  
60 SOUTH SIXTH STREET  
3300 DAIN RAUSCHER PLAZA  
MINNEAPOLIS, MN 55402



S18001/P980  
CONFIRMATION NO. 7784

FILING RECEIPT



\*OC000000007708456\*

Date Mailed: 03/25/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Carl W. Orthlieb, San Jose, CA;  
James P. Pravetz, Sunnyvale, CA;  
Sarah Rosenbaum, Menlo Park, CA;

**Domestic Priority data as claimed by applicant**

**Foreign Applications**

**If Required, Foreign Filing License Granted 03/25/2002**

**Projected Publication Date: To Be Determined - pending completion of Missing Parts**

**Non-Publication Request: No**

**Early Publication Request: No**

**Title**

Application rights enabling

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**Preliminary Class**

717

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Cod , Section 184  
Title 37, Code of Federal R gulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/080,923	02/21/2002	Carl W. Orthlieb	07844-51801

021876  
FISH & RICHARDSON P.C.  
60 SOUTH SIXTH STREET  
3300 DAIN RAUSCHER PLAZA  
MINNEAPOLIS, MN 55402

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CONFIRMATION NO. 7784

## FORMALITIES LETTER



\*OC000000007708457\*

Date Mailed: 03/25/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/09/2002 1A9RAH1 00000037 10080923

01 FC:101	740.00 OP
02 FC:105	130.00 OP
03 FC:103	576.00 OP
04 FC:102	336.00 OP

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$912.
  - \$576 for 32 total claims over 20.
  - \$336 for 4 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1782.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE